



#Sector

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Jeffrey A. Steward and Brandon Gosiengfiao  
Assignee: Advanced Cardiovascular Systems, Inc.  
Title: MEDICAL ASSEMBLY WITH TRANSDUCER FOR LOCAL  
DELIVERY OF A THERAPEUTIC SUBSTANCE AND METHOD OF  
USING THE SAME  
Serial No.: 09/475,548 Filed: December 30, 1999  
Examiner: Unknown Group Art Unit: 3735  
Docket No.: M-7891 US

San Jose, California  
April 6, 2000

BOX MISSING PARTS  
ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF  
APPLICATION - FILING DATE GRANTED**

Dear Sir:

In response to the "Notice to File Missing Parts of Application - Filing Date Granted" mailed by the United States Patent and Trademark Office on February 11, 2000, the following documents are enclosed to complete the filing of the above-identified patent application:

1. A declaration signed by the inventors in compliance with 37 CFR 1.63; and
2. Copy of Notice to File Missing Parts of Application - Filing Date Granted.

The United States Patent and Trademark Office is hereby authorized to charge the following fees to Deposit Account No. 19-2386:

- |    |  |           |
|----|--|-----------|
| 1. | Surcharge for filing declaration on a date later than the<br>filing date of the application. | \$130.00  |
| 2. | TOTAL FEES:  | \$ 130.00 |

LAW OFFICES OF  
SKJERVEN, MORRILL,  
MacPHERSON, FRANKLIN  
& FRIEL LLP

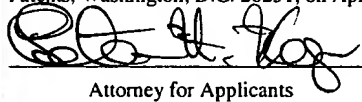
25 METRO DRIVE  
SUITE 700  
SAN JOSE, CA 95110  
(408) 453-9200  
FAX (408) 453-7979

619059 vl

The Commissioner is hereby authorized to charge any additional fees, which may be required, or credit any overpayment to Deposit Account No. 19-2386.

It is hereby respectfully submitted that the enclosed documents complete the filing of the above patent application and justify the filing date of December 30, 1999. Please telephone the undersigned at (415) 217-6000 or (408) 453-9200, if there are any questions. If the undersigned is unavailable, please contact Rory G. Bens (Reg. No. 44,028) at the same telephone numbers. This form is being submitted in duplicate.

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Box Missing Parts, Assistant Commissioner For Patents, Washington, D.C. 20231, on April 6, 2000.

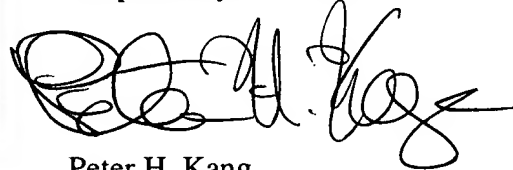


Attorney for Applicants

April 6, 2000

Date of Signature

Respectfully submitted,



Peter H. Kang  
Attorney for Applicants  
Reg. No. 40,350



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
--------------------	---------------------	-----------------------	---------------------------

092475-548 12/30/99 STEWARD J MK7891-US

0222/0211

KEN J KOESTNER  
SKJERVEN MORRILL MACPHERSON  
FRANKLIN & FRIEL LLP  
25 METRO DRIVE SUITE 700  
SAN JOSE CA 95110-1349

DATE MAILED:

02/11/00

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a  
☐ small entity (statement filed) ☒ non-small entity is \$ 130.00.

- ☐ 1. The statutory basic filing fee is:  
☐ missing.  
☐ insufficient.

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☐ 2. The following additional claims fees are due:

\$ \_\_\_\_\_ for \_\_\_\_\_ total claims over 20.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:  
☒ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

- ☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

04/14/2000 KZENDIE 00000011 192386 01 FC:105 130.00 CH 05475548